JINDAL SOUTH WEST HOLDINGS LIMITED

SHAREHOLDING PATTERN AS ON 30.09.2012

(I) (a) Statement showing Shareholding Pattern

	ODE : 532642	Name of Scrip : JSWHL	Class of Security :	Equity	Quarter E	nded : 30.09	.2012	
Cate-						Number of	As a % of total	As a % of total
gory	Partly paid up	<u>shares</u>				partly paid	number of partly	number of shares
Code						up shares	paid up shares	of the Company
	Held by Promot	er/Promoter group				NIL	NIL	NIL
	Held by Public					NIL	NIL	NIL
	Total					NIL	NIL	NIL
						Number of	As a % of total	As a % of total
	Outstanding C	onvertible Securities				outstanding	No. of outstanding	No. of shares of the
						Securities	Con. Securities	Company, assuming
								full conversion of the
								Securities
	Held by Promot	er/Promoter group				NIL	NIL	NIL
	Held by Public					NIL	NIL	NIL
	Total					NIL	NIL	NIL
						Number of	As a % of total	As a % of total
						warrants	number of warrants	number of shares
	Warrants							of the Company
								assuming full
								conversion of warrants
	Held by Promot	er/Promoter group				NIL	NIL	NIL
	Held by Public					NIL	NIL	NIL
	Total					NIL	NIL	NIL
	Total paid up o	apital of the Company, assu	ming full			1,10,99,625	shares of Rs.10/- each	
	conversion of	warrants and convertible sec	urities				11,09,96,250/-)	

Cate-		Number of	Total No.	Number of	Total shareh	olding as	Share	es pledged or
gory	Category of	Share-	of shares	shares held	a percentag	ge of total	otherwi	se encumbered
Code	Shareholders	holders		in demated	number o	f shares	Number of	As a
				form	As a perce	entage of	Shares	%
					(A+B) ¹	(A+B+C)		
(1)	(II)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group ²							
(1)	Indian							
(1) (a)	Individuals/H.U.F	22	27642	27642	0.25	0.25		
(b)	Cental/State Government(s)	0	0	0	0.20	0.00		
(c) (c)	Bodies Corporate	18	6174794	6174794	55.63	55.63		
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00		
(e)	Any Other (specify)		-	-				
(-)	Employee Welfare Trust	0	0	0	0.00	0.00		
	M&M Benfit Trust	0	0	0	0.00	0.00		
	Sub-Total (A)(1)	40	6202436	6202436	55.88	55.88	N.A.	N.A.
(2)	Foreign							
. ,	Non Resident Individuals/Foreign							
(a)	Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
	Sub_Total (A)(2)	0	0	0	0.00	0.00	N.A.	N.A
	Total holding of Promoter and							
	Promoter Group	40	6202436	6202436	55.88	55.88	N.A.	N.A
	(A)=(A)(1)+(A)(2)							
(B)	Public Shareholding3							
(1)	Institutions							
(a)	Mutual Fund/UTI	14	4526	3665	0.04	0.04		
(b)	Financial Institutions/Banks	10	1168	550	0.01	0.01		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	1	107172	107172	0.96	0.96		
(f)	Foreign Institutional Investors	29	643607	642345	5.80	5.80		
(g)	Foreign Venture Cap. Inv	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	54	756473	753732	6.81	6.81	N.A.	N.A
(2)	Non Institutions							
(a)	Bodies Corporate	1217	1273025	1267281	11.47	11.47		
(b)	Individuals							
	i) Holding nominal share capital			2123027	21.04	21.04		
	upto Rs. 1 lakh	33924	2334933	C / C C C		• • •		
	ii) Holding nominal share capital	<i>,</i> .	C 1005-	343698	3.12	3.12		
()	in excess of Rs. 1 lakh	14	346398					
(c)	Any Other(specify) Individual		470405	137860	4 50	1.59		
	Non-Resident Individual	1445 0	176135 0	137860	1.59 0.00	0.00		
	Foreign National Overseas Corporate Bodies	0	0 10025	10000	0.00	0.00		
	Foreign Bodies	2	0	0000	0.09	0.09		
	Trust	1	200	200	0.00	0.00		
	Clearing Member	0	200	0	0.00	0.00		
	Sub-Total (B)(2)	36603	4140716	3882066	37.31	37.31	N.A.	N.A
		00003	+1+0110	0002000	57.51	57.51	11174	11.71
	Total Public shareholding (B)=(B)(1)+(B)(2)	00057	4007400	4605700	44.40	44.40		
		36657 36697	4897189 11099625	4635798 10838234	44.12 100.00	44.12 100.00	N.A.	N.A
(C)	TOTAL (A)+(B) Shares held by Custodians and	30091	11099025	10030234	100.00	100.00	N.A.	N.A
	against which Depository							
	Receipts have been issued							
1	•	0	Ω	Δ	0 00	0 00		
1	Promoter and Promoter Group Public	0 0	0	0	0.00 0.00	0.00		

(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of S	hares held	Enc	umbered shar	es(*)	Details	of warrants	Details of convertible securities		Total shares (including underlying
			As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub- clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
					(VI) =						
(1)	()	(Ш)	(IV)	(V)	(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
		4050				0.00	NIA		NIA	NIA	
	ABHYUDAY JINDAL	1256 250		0		0.00		NA NA	NA NA	NA NA	0.01 0.00
	DEEPIKA JINDAL			0				NA	NA	NA	
-	NAVEEN JINDAL & SONS (HUF)	1356 691	0.01	0	0.00	0.00		NA	NA	NA	0.01 0.01
	NAVEEN JINDAL & SONS (HUF)	664	0.01	0		0.00		NA	NA	NA	0.01
	PARTH JINDAL	500		0		0.00		NA	NA	NA	0.01
-	PRITHVI RAJ JINDAL	2097	0.00	0		0.00		NA	NA	NA	0.00
-	PRITHVI RAJ JINDAL H U F	1122	0.02	0		0.00		NA	NA	NA	0.02
-	R.K. JINDAL & SONS HUF	3708	0.03	0		0.00		NA	NA	NA	0.03
-	RATAN JINDAL	1470		0		0.00		NA	NA	NA	0.01
-	SAJJAN JINDAL	2197	0.02	0		0.00		NA	NA	NA	0.02
	S K JINDAL AND SONS HUF	1447	0.01	0		0.00		NA	NA	NA	0.01
	SANGITA JINDAL	1181	0.01	0		0.00		NA	NA	NA	0.01
-	SAROJ BHARTIA	34	0.00	0		0.00		NA	NA	NA	0.00
	SAVITRI DEVI JINDAL	1863	0.02	0		0.00		NA	NA	NA	0.02
16	SHRADHA JATIA	1257	0.01	0		0.00		NA	NA	NA	0.01
17	SMINU JINDAL	1381	0.01	0	0.00	0.00		NA	NA	NA	0.01
18	TANVI JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
19	TARINI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
20	TRIPTI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
21	URMILA BHUWALKA	900	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
22	URVI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
	Sub - Total (a)	27642	0.25	0	0.00	0.00	NA	NA	NA	NA	0.25

Contd..

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group" (contd)

Sr.No.	Name of the shareholder		etails of Shares held f Shares As a % of		umbered share	()	Details of warrants		Details of convertible securities Number of As a % of		Total shares (including underlying shares
		held	grand total (A)+(B)+(C)	Number	Percentage		warrants held	total	convertible securities held	total number of convertible securities of the same class	assuming full conversion of warrants and convertible securities as a % of diluted share capital
					(VI)=		1		1		
(1)	(11)	(111)	(IV)	(V)	(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Abhinandan Investments Ltd	143262	1.29	0	0.00	0.00	NA	NA	NA	NA	1.29
2	Colorado Trading Company Ltd	227060	2.05	0	0.00	0.00	NA	NA	NA	NA	2.05
3	Gagan Trading Company Ltd.	538137	4.85	0	0.00	0.00	NA	NA	NA	NA	4.85
4	Jindal Equipment Leasing &										
	Consultancy Services Ltd	419584	3.78	0	0.00	0.00	NA	NA	NA	NA	3.78
5	Nalwa Sons Investments Ltd	75	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
6	Jindal Holdings Ltd	132095	1.19	0	0.00	0.00	NA	NA	NA	NA	1.19
7	Mansarover Investments Ltd	267637	2.41	0	0.00	0.00	NA	NA	NA	NA	2.41
8	Meredith Traders Pvt. Ltd.	3075	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
9	Nalwa Investments Ltd	273587	2.46	0	0.00	0.00	NA	NA	NA	NA	2.46
10	Nalwa Sons Investments Ltd	1137043	10.24	0	0.00	0.00	NA	NA	NA	NA	10.24
11	Reynold Traders Pvt. Ltd	320500	2.89		0.00	0.00	NA	NA	NA	NA	2.89
	Rishikesh Finlease & Investments Pvt.										
12	Ltd.	1500	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
	Stainless Investments Ltd	182734	1.65	0		0.00		NA	NA	NA	1.65
14	Sun Investments Pvt. Ltd.	365407	3.29	0	0.00	0.00		NA	NA	NA	3.29
15	Vrindavan Services Pvt. Ltd.	642400	5.79	0	0.00	0.00		NA	NA	NA	5.79
16	Hexa Securities & Finance Co Ltd	94214	0.85	0	0.00	0.00	NA	NA	NA	NA	0.85
17	Hexa Tradex Limited	334		0				NA	NA	NA	0.00
18	Groovy Trading Private Limited	1426150	12.85	0	0.00	0.00	NA	NA	NA	NA	12.85
	Sub-Total (b)	6174794	55.63	0	0.00	0.00	NA	NA	NA	NA	55.63
	Grand Total	6202436	55.88	0	0.00	0.00	NA	NA	NA	NA	55.88

(*) The term "encumbrance" has the same meaning as assigned to it, in regulation 28(3) of the SAST Regulations, 2011

Sr. No.	Name of the	Number of	Shares as a	Details of	warrants	Details of c	onvertible securities	Total shares
	shareholder	shares held	percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	warrants	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	(including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
1	ACACIA PARTNERS LP	219500	1.98	NA	NA	NA	NA	1.98
2	ACACIA INSTITUTIONAL PARTNERS,LP	150000			NA	NA	NA	1.35
	TOTAL	369500	3.33	NA	NA	NA	NA	3.33

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr.No.	Name(s) of the	Number of	Shares as a	Details	of warrants	Details of o	onvertible securities	Total shares
	shareholder(s) and	shares	percentage of	No of	As a % of total	Number of	As a % of total number	(including
	the Persons Acting		total number of	warrants	number of	convertible	of convertible	underlying shares
	in		shares {i.e.,	held	warrants of the	securities	securities of the same	assuming full
	Concert (PAC) with		Grand Total		same class	held	class	conversion of
	them		(A)+(B)+(C)					warrants and
					NIL			

(l) (d)	Statement showing det	ails of locked in shar	es
Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares {i.e.Grand Total(A)+(B)+C) indicated in statement at para(1)(a) above}
		N.A.	
	TOTAL		

(II) (a)	Statement showing deta	ils of Depository Re	eceipts (DRS)	
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}
			N.A.	
	TOTAL			

(II) (b)	Statement showing hold promoter group" are in the second s		ipts (DRs) where underlying shares hel I no.of shares	d by "promoter /
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}
			N.A.	
	TOTAL			

Category code	Category of shareholder		Voting Rightss of securit		Total Voting Rights	Total Voting Rights i.e. (VI)		
						As a %	As a %	
		Class X	Class Y	Class Z	(III+IV+V)	AS a % of (A+B)	AS a % of (A+B+C)	
(1)	(11)			0.000	. ,		. ,	
(1)	(II)	()	(IV)	(V)	(VI)	(VII)	(VIII)	
(A) (1)	Promoter and Promoter Group					<u> </u>		
(1) (a)	Individuals/Hindu Undivided Family							
(a) (b)	Central/State Government(s)							
(c)	Bodies Corporate							
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
(-)	Sub-Total (A) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Foreign							
(a)	Individuals (Non Resident/Foreign)						1	
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
* *	Sub-Total (A)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	Total Shareholding of Promoter							
	and Promoter Group (A)=(A)(1) +							
	(A)(2)							
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds/UTI							
(b)	Financial Institutions/Banks							
(c)	Central/State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies							
(f)	Foreign Institutional Investors							
(g)	Foreign Venture Capital Investors							
(h)	Any Other (specify)							
	Sub-Total (B) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Non Institutions							
(a)	Bodies Corporate							
(b)	Individuals							
	i. Individual shareholders holding	_					-	
	nominal share capital upto							
	Rs. 1 lakh ii.Individual shareholders holding						1	
	nominal share capital in excess						+	
	of Rs. 1 lakh.						+	
(c)	Any Other (specify)						+	
(~)	Sub-Total (B) (2)							
	Total Public shareholding (B)=(B)(1)+(B)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	TOTAL (A) + (B)	N.A.		N.A.	N.A.	N.A.	N.A.	
(\mathbf{c})	Shares held by Custodians and	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(C)	against which Depository Receipts						+	
							+	
	GRAND TOTAL (A)+(B)+(C)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	